

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application No.:** 10/824,954  
**Date Filed:** April 14, 2004  
**Title:** SYSTEMS AND METHODS FOR TRADING EMISSION  
REDUCTION BENEFITS  
**Applicant:** Stephen M. DRUMMOND  
**Group Art Unit:** 3689  
**Confirmation No.:** 7464  
**Examiner:** Heidi M. Riviere

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith patents, publications or other information of which he is aware that they believe may be material to the patentability of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicant agrees with any statement(s) in the information cited. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449 may be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicant's undersigned Attorney.

**Fees**

No fee is believed to be due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97(b)(4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

In the event that a fee is determined to be due, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 50-3938.

Respectfully submitted,

January 6, 2009  
Date

/Antonio Papageorgiou/  
Antonio Papageorgiou  
Attorney for Assignee  
PTO Registration No. 53,431  
(212) 829-5407 / phone